

RYAN P. SANDELL

Gothic

Introduction – Part 1: Linguistic Affiliations and External History

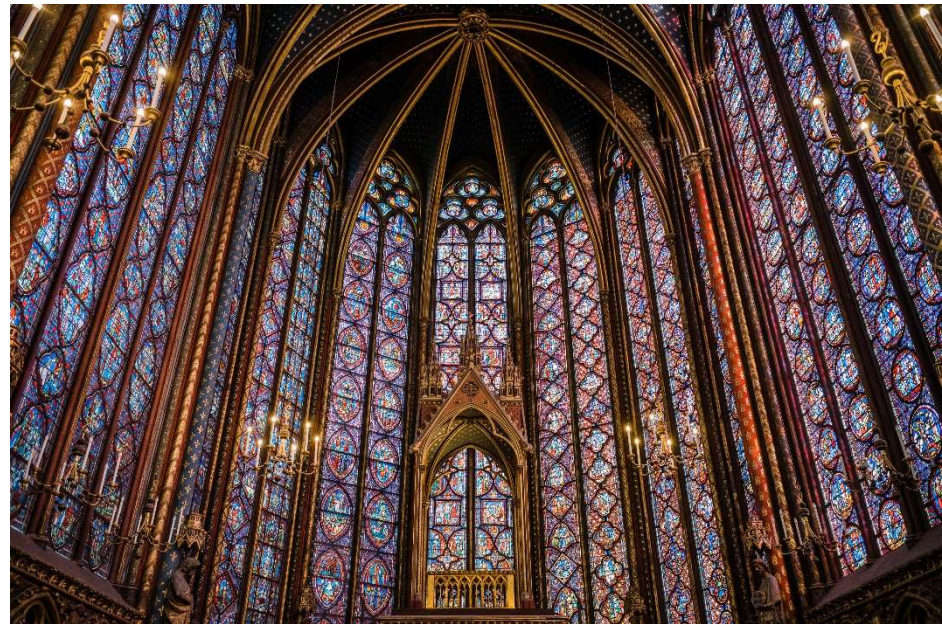
Roadmap

- What is Gothic?
- Linguistic History of Gothic
- Linguistic Relationships: Genetic and External
- External History of the Goths

What is Gothic?

- **Gothic** is the oldest attested language (mostly 4th c. CE) of the **Germanic** branch of the Indo-European family.
- It is the only substantially attested **East Germanic** language.
- Corpus consists largely of a translation (Greek-to-Gothic) of the biblical New Testament, attributed to the bishop **Wulfila**.
- Primary manuscript, the ***Codex Argenteus***, accessible in published form since 1655.
- **Grammatical Typology**: broadly similar to other old Germanic languages (Old High German, Old English, Old Norse).
- **External History**: extensive contact with the Roman Empire from the 3rd c. CE (Romania, Ukraine); leading role in 4th / 5th c. wars; Gothic kingdoms in Italy, Iberia in 6th-8th c.

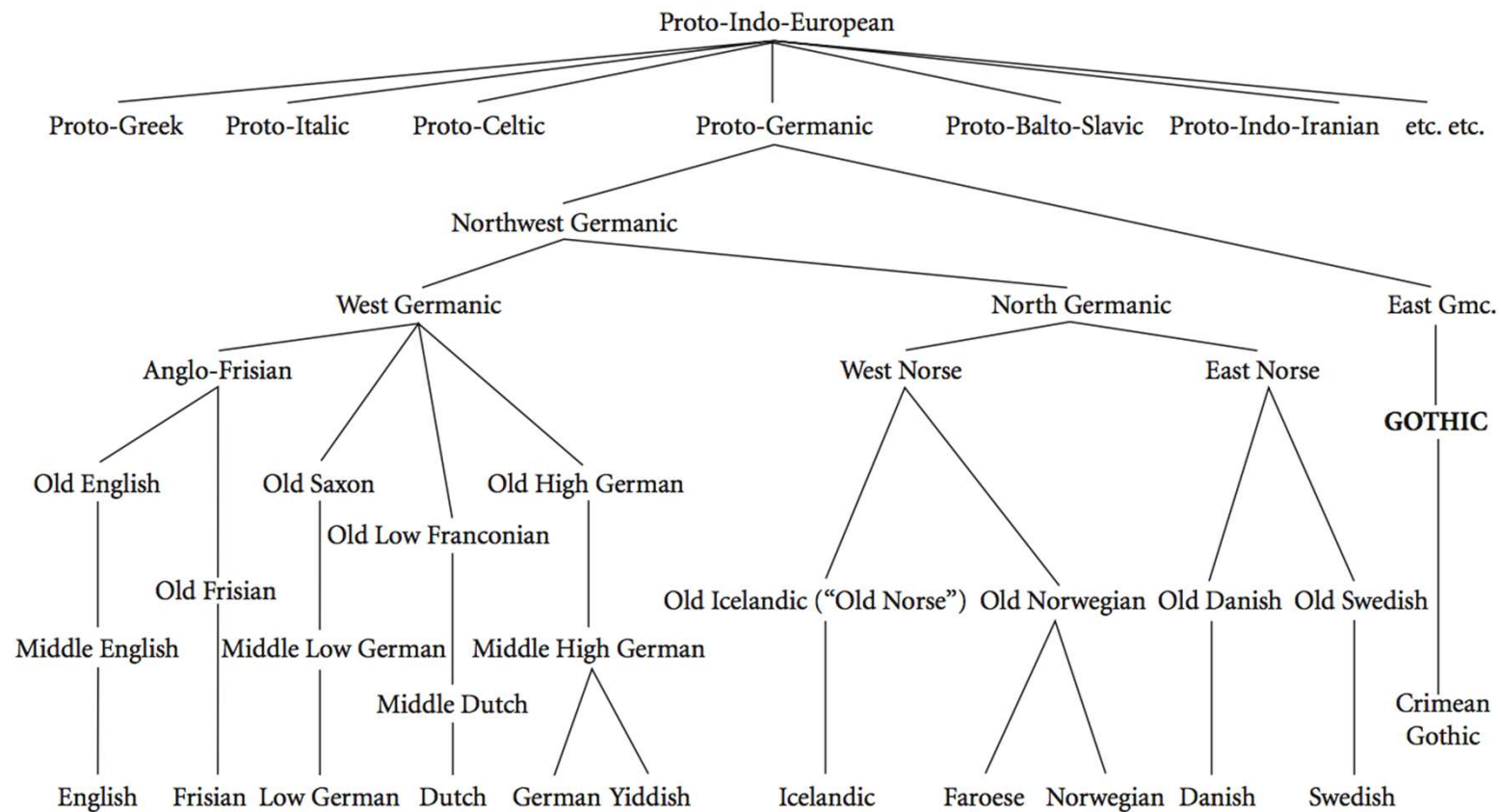
What Gothic is not...



Linguistic History of Gothic

- Earliest substantively attested **Germanic** language.
 - Only well-attested **East Germanic** language.
- The language is a “snapshot” from the middle of the 4th c. CE.
 - Biblical translation was produced in the 4th c. CE.
 - Some shorter and fragmentary texts date to the 5th and 6th c. CE.
- Gothic was extinct in Western and Central Europe by the 8th c. CE, at latest.
- In the Ukraine, communities of Gothic speakers may have existed into the 17th or 18th century.
 - *Vita* of St. Cyril (9th c.) mentions Gothic as a liturgical language in the Crimea.
 - Wordlist of “Crimean Gothic” collected in the 16th c. – probably not a direct descendent of earlier Gothic.

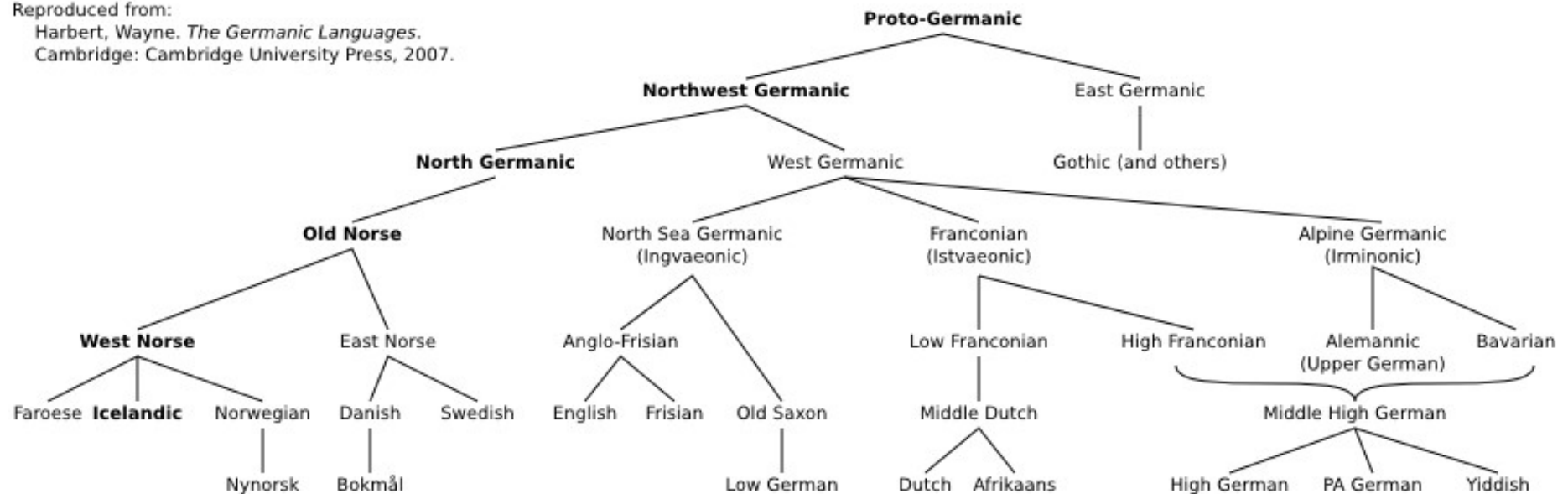
Gothic in the Germanic Family



Gothic in the Germanic Family

- Also East Germanic: Burgundian and Vandalic.
- Vandalic evidence points to a language similar to but distinct from Gothic (Onesti Fthc.).

Reproduced from:
Harbert, Wayne. *The Germanic Languages*.
Cambridge: Cambridge University Press, 2007.



Northeast or Northwest Germanic?

SD3

- Default Assumption: three independent Germanic branches (East, West, North).
- Evidence for a common Northeast Germanic:
 - “Holtzmann’s Law”: PGmc. *[j:] and *[w:] > Goth. *ddj* and *ggw*, Old Norse *ggj* and *ggv* (Gothic *triggwa*, Old Norse *tryggva* ‘loyalty’)
 - 2SG.PST ending /-t/ (Gothic *gaft*, Old Norse *gaft* ‘gave’).
- Connection to West Germanic: higher degree of lexical similarity (Mańczak 1984).
- In favor of Northwest Germanic:
 - Rhotacism: PGmc. */z/ > /r/
 - PGmc. */ē₁/ > /ā/
 - Preterite of Class VII Strong Verbs lacks reduplication.
- Northwest Germanic credible; East plus another branch not very.

I'm not a big fan of titles up there

Saverio Dalpedri; 28.07.2019

External Linguistic Contacts

- Extensive evidence for contact with **Latin** and **Greek**.
 - Lexical fields: Christian religion, products of the Mediterranean world.
 - Religion: *aiwaggeljo* ‘gospel’, *diabulus* ‘devil’
 - Products: *alew* ‘(olive) oil’
 - Often originally Greek words have a Latin form (see above).
 - But some originally Latin words have a Greek form (*laigaion* < Gk. *legeón* < Lat. *legiō* ‘legion’)
- Credible: contact with **West Germanic**, but difficult to substantiate.
 - Especially high degree of lexical similarity between Gothic and Old High German might be evidence for contact.
- Geographically possible: contact with **Proto-Slavic** and **Iranian** languages.
 - Conversely: possible Gothic loanwords in Slavic and Baltic.
 - PSlv. **bjōda* ‘bowl’ < Goth. *biuda*; PSlv. *bōkū* ‘letter’ < Goth. *boko*.

Whence the Goths?

- Generally undisputed: Gothic speakers present in areas along the northwestern Black Sea from the 3rd c. CE.
- Entirely unclear: where Gothic speakers were present in earlier centuries.
- Jordanes (6th c. CE, active in Byzantium): Goths originated in Scandinavia (“Scandza”) and migrated to “Gothiscandza”.
 - Jordanes, *De origines actibusque Getarum*, Chapter 4, 25:
Ex hac igitur Scandza insula quasi officina gentium aut certe velut vagina nationum cum rege suo nomina Berig Gothi quondam memorantur egressi: qui ut primum e navibus exientes terras attigerunt, ilico nomen loci dederunt. Nam odieque illic, ut fertur, Gothiscandza vocatur.
 - Onomastic evidence from Scandinavian place names: island of *Gotland*, region of *Götaland* in southern Sweden.
- Gothiscandza: possibly associated with the Wielbark culture (1-4th c. CE) along the Vistula.
- Good archeological evidence for population movements in area northeast of the Danube in the 2nd and early 3rd c. CE.

Whence the Goths?



Key:

Green: Götaland

Pink: Gotland

Red: Wielbark Culture

Orange: Chernyakov
Culture

Blue: Roman Empire

Goths in the 3rd Century

- From the middle of the 3rd c. CE, incursions of Goths southward into Dacia and the Balkans.
- In 249-251, a Gothic and Scythian army led by **Cniva** invaded Dacia and Moesia.
 - Danube was crossed, cities of Marcianopolis and Philippopolis besieged.
 - Roman army massively defeated at the **Battle of Abritus** (Summer 251); Emperor Decius killed.
- 267-269: Naval invasion of the Black Sea and Aegean coasts.
 - Gothic army soundly defeated at **Naissos** (Niš) by Claudius II in 269.
 - Incursions largely abate until the mid-4th c. CE.



Goths in the 4th Century: Tribal Divisions

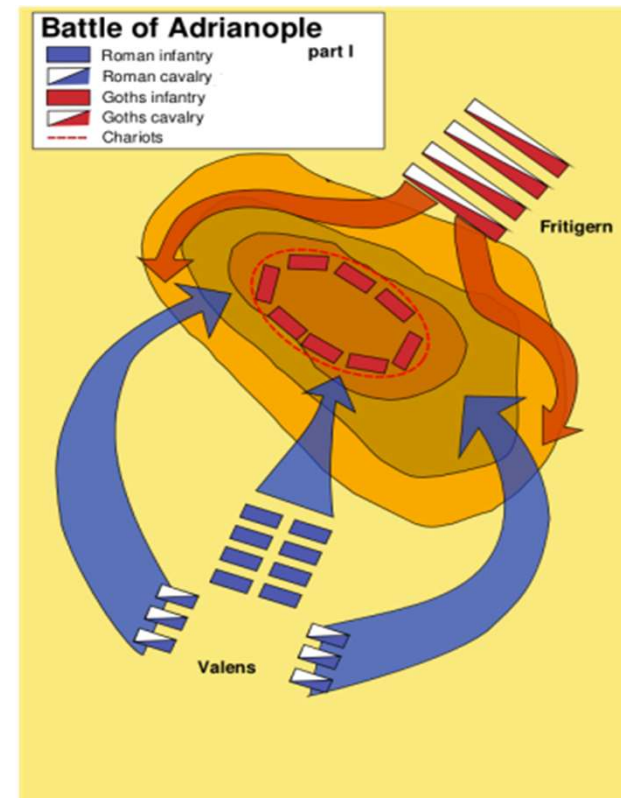
- Terms to refer to distinct Gothic groups attested from the end of the 3rd c. CE.
 - A speech praising the emperor Maximian from about 291 mentions the *Tervingi* as a group of the Goths.
 - Another division, the *Greuthungi* are first mentioned by the 4th c. historian, Ammianus Marcellinus.
- These two terms can be equated with the labels *Austrogothi* (*Ostrogoths*) and *Vesi* (*Visigoths*) also employed by historians of late Antiquity and the early Middle Ages.
 - *Greuthungi* = *Austrogothi* 'eastern Goths' (cf. Germ. *Ostern* 'Easter')
 - *Tervingi* = *Vesi* 'good guys' (IE **yes-* 'good')
 - *Austrogothi* and *Vesi* were endonyms; *Greuthungi* and *Tervingi* exonyms.
- Roman contact during the late 3rd and early 4th centuries was more extensive with the *Vesi*.
 - Campaign from 328-332 in Dacia led to submission of *Vesi* and export of soldiers.

Goths in the 4th Century: Adrianople

- Ostrogoths: expanding territory under **Ermanaric**.
 - Mentioned in Ammianus and Jordanes as a warrior-king.
 - Name preserved in Anglo-Saxon (*Eomanrīc*) and Old Norse (*Jǫrmunrekr*) legend.
- War between Ostrogoths and Huns in the 370s.
 - Death of Ermanaric in 376.
 - Ostrogothic refugees move southwest; remaining fall under Hunnic dominance.
- Vesi and Ostrogothic refugees ask for resettlement beyond the Danube (376).
 - Large numbers of Goths, led by Alavivus and **Fritgern**, enter Moesia and Thrace.
 - Mistreatment by provincial officials results in rebellion, small battles over two years.

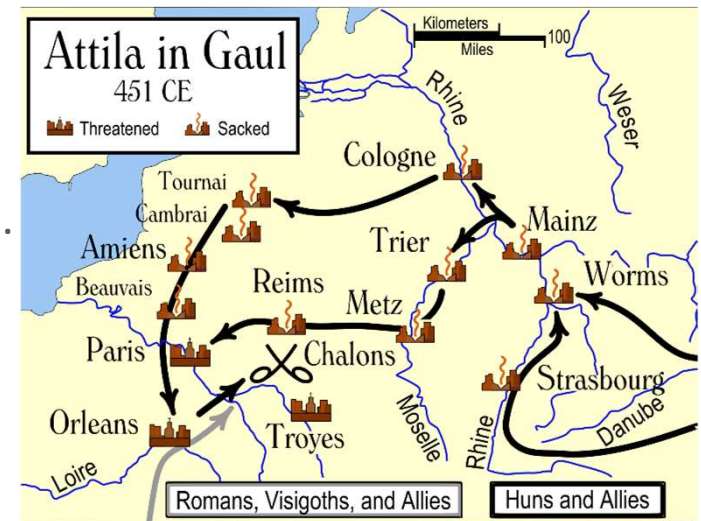
Goths in the 4th Century: Adrianople

- In 378, the eastern emperor **Valens** organized a large force of seven legions (**Battle of Adrianople**).
 - August 9: leaves Adrianople to engage nearby Gothic army.
 - Fritigern delays through negotiation; Romans make uncoordinated attacks; Gothic cavalry ambush.
 - Rout of Valens' forces, death of Valens ⇒ permanent Gothic presence inside Roman borders.



Goths and the Hunnic Wars

- Vesi post-Adrianople: lead by **Alaric**.
 - 395: raiding in Greece.
 - 410: sack of Rome.
 - 416: Visigothic-Roman *foedus*, resettlement in Aquitaine.
- Ostrogoths: subject population in the **Hunnic Empire**.
- Hunnic **Invasion of Gaul** in 451
 - Visigoths part of Roman coalition led by Aetius.
 - Ostrogoths present with Huns
 - **Battle of the Catalaunian Fields**: Visigothic king Theodoric (son of Alaric) killed; Roman and Visigothic victory (Jordanes).
- Outcome: greater Visigothic political independence from Rome.



Ostrogothic Italy: Emergence

- Germanic vassals defeat Huns at the **Battle of Nedao** (453 CE)
 - Role of Ostrogoths disputed – Hunnic allies or enemies?
 - Outcome: Hunnic Empire wanes, Ostrogoths under **Theodimir** (Amal dynasty) become Eastern Roman *foederati* settled in Pannonia.
- Cessation of tribute payments in 460 led to invasion of Illyria.
 - Peace settlement (461) sent Theodimir's son **Theodoric Amal** as a hostage to Constantinople.
 - 477-483: wars in the Balkans between Emperor Zeno (Byzantines), Theodoric Amal, and Theodoric Strabo (Ostrogoths).
- 484: Theodoric Amal becomes *magister militum* and consul.
- 488: Theodoric sent by Zeno to conquer Italy from Sciriiian **Odoacer**.
- 493: Ostrogothic conquest of Italy completed with murder of Odoacer by Theodoric at the peace banquet.

Ostrogothic Italy: Governance and Culture

- Theodoric (the Great) was effectively independent, though officially a subject of Byzantium. Seat at Ravenna.
 - Persistent political and religious disagreement with Byzantium.
 - Catholic administrators often undermined Arian Christian Goths.
 - Longest period of peace in Italy since the early 4th century.
- New architecture and public works commissioned in Ravenna and Rome, including a mausoleum for Theodoric.
- *Codex Argenteus* produced. Virtually all surviving Gothic manuscripts produced in Italy during this period.
- Death of Theodoric in 526.
- Byzantine (under Justinian I) reconquest of Italy in wars 535–554.



Ostrogothic Kingdom (493-553)

Visigothic Kingdom in Iberia

- Expansion from Aquitaine between 466 and 500 CE.
- Led by Euric, son of Theodoric.
 - Wars in Iberia against Suebi, local Romans.
 - Occupation of Arles, Marseille.
- Conflict with Franks in early 6th c., loss of territory in Gaul.
- Kingdom in Iberia centered at Toledo.
 - Conversion of Visigothic nobility from Arianism to Catholicism in 589.
 - Military conflict with Byzantium during the late 6th c.
- New cities founded in Iberia during 6th and 7th centuries.
- Conquest of much of Iberia by Umayyads 711-725.
- Core of later Spanish kingdoms formed by Visigothic nobility in Asturias.



Greatest extent of the
Visigothic Kingdom (c. 500)

𐌰𐌶𐌹𐌻𐌰𐌸𐌸𐌰𐌸 𐌺𐌵𐌹𐌸 𐌶𐌺 𐌺𐌵𐌹𐌰𐌸𐌰
𐌰𐌸𐌸𐌰𐌸𐌰𐌸𐌰𐌸𐌰𐌸𐌰

Thank you for your attention!